



# Shareholder Communication Policy

Rubicon Water Limited (ACN 651 852 470)

Adopted by the Board on 12 July 2021

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## 1 Purpose

- (a) Rubicon Water Limited (Rubicon) recognises that shareholders and other stakeholders are entitled to be informed in a timely and readily accessible manner of all major developments affecting Rubicon.
- (b) The purpose of this shareholder communications policy is to promote effective communication with shareholders and other stakeholders and to encourage and facilitate participation at Rubicon's general meetings and dealing promptly with the enquiries of shareholders and other stakeholders.

## 2 Methods of communication

Information is provided to shareholders and other stakeholders through, but is not limited to:

- (a) releases to the Australian Securities Exchange (ASX) in accordance with continuous disclosure obligations;
- (b) the corporate and investor sections of Rubicon's website;
- (c) Rubicon's annual and half-yearly reports; and
- (d) the annual general meeting (AGM).

Rubicon encourages shareholders to receive company information electronically by registering their email address online with Rubicon's share registry.

## 3 ASX releases

- (a) Rubicon will make announcements to the ASX in a timely manner in accordance with the ASX Listing Rules (see also Rubicon's Disclosure Policy).
- (b) All announcements made to the ASX are available to shareholders:
  - (i) under the investor section of Rubicon's website; or
  - (ii) under the company announcements section of the ASX website.

## 4 Rubicon's website

- (a) The investor section of Rubicon's website is the primary medium of providing information to all shareholders and stakeholders. It has been designed to enable information to be accessed in a clear and readily accessible manner.
- (b) The corporate section of Rubicon's website will provide governance-related information including details of the Board of Rubicon (Board) and senior management team and copies of Rubicon's board committee charters and company policies.
- (c) The investor section of Rubicon's website will contain information relevant to shareholders and stakeholders including statements lodged with the ASX by Rubicon (including all financial results and annual reports), press releases and other material relevant to Rubicon shareholders.

## 5 Annual general meeting

- (a) The AGM provides an important opportunity for Rubicon to provide information to its shareholders and a reasonable opportunity for informed shareholder participation. At the AGM, shareholders can express their views to the Board and management and to vote on the Board's proposals. All shareholders are encouraged to attend and participate at the AGM.
- (b) The date, time and location of the AGM will be provided in the notice of meeting, in the annual report and on Rubicon's website.
- (c) Where practicable, Rubicon will consider the use of technological solutions for encouraging shareholder participation at meetings (which may include, for example, live webcasting of meetings, holding meetings across multiple venues linked by live telecommunications and hybrid meetings).
- (d) The notice of meeting and proxy form will be distributed to all shareholders prior to the AGM in the timeframe set by the Corporations Act and will be available on Rubicon's website.
- (e) Rubicon's auditor attends its AGM and is available to answer any questions regarding the conduct of and any issues arising from the audit or the preparation and content of the auditor's report.

## 6 General

- (a) **Annual report:** Unless the share registry has been notified otherwise, the annual report will be emailed to all shareholders (to the email address recorded on Rubicon's share register) prior to the AGM within the timeframe set by the Corporations Act.
- (b) **Half-year and full-year results:** The half-year and full-year results will be announced to the ASX pursuant to the ASX Listing Rules and are available to shareholders in the same manner as other ASX announcements. Following the release of Rubicon's half-year and full-year results, Rubicon may conduct investor and analyst briefings at which the media, institutional investors, and stockbroking analysts will be briefed and given an opportunity to ask questions of Rubicon's senior management.
- (c) **Dividends:** Australian resident shareholders are encouraged to provide Rubicon's share registry with Australian bank account details to enable Rubicon to pay dividends by electronic funds transfer, rather than by cheque.
- (d) **Share registry:** Shareholders with any questions related to their shareholding should contact Rubicon's share registry at:

<b>Address</b>	Yarra Falls, 452 Johnston Street, Abbotsford VIC 3067 Australia
<b>Telephone Number</b>	+61 3 9473 2500

- (e) **Shareholder enquiries:** Rubicon is committed to dealing with shareholder enquiries promptly and courteously and takes measures to ensure that its registry, Computershare, also does so.
- (f) **Shareholder privacy:** Rubicon recognises that privacy is important and will not disclose registered shareholder details unless required by law. Shareholder details will only be used in accordance with applicable privacy laws.

- (g) **Shareholders' meetings:** Rubicon will ensure that all substantive resolutions at a meeting of shareholders are decided by a poll rather than by a show of hands.



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